



Atty Dkt No. 5075-0017.10  
PATENT

*COPIED*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Craig Jon HAWKER et al.

Patent No.: 6,780,492 *B2*

Confirmation No.: 6233

Issue Date: August 24, 2004

Group Art Unit: 1771

Serial No.: 10/074,395

Examiner: Elizabeth M. COLE

Filing Date: February 11, 2002

Title: SUBSTRATES PREPARED BY CHEMICAL AMPLIFICATION OF SELF-ASSEMBLED MONOLAYERS WITH SPATIALLY LOCALIZED POLYMER BRUSHES

**REQUEST FOR CERTIFICATE OF CORRECTION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**

NOV 05 2004

**of Correction**

Sir:

Please furnish the undersigned with a Certificate of Correction for the above-identified U.S. patent. As indicated in the appended Certificate of Correction document, the requested correction adds a section to the specification entitled "REFERENCE TO GOVERNMENT SUPPORT."

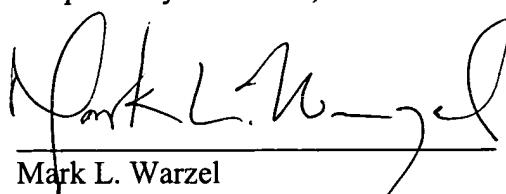
11/02/2004 FMETEK11 00000047 6780492

01 FC:1811

100.00 OP

Respectfully submitted,

By:

  
\_\_\_\_\_  
Mark L. Warzel  
Registration No. 47,264

Reed Intellectual Property Law Group  
800 Menlo Avenue, Suite 210  
Menlo Park, California 94025  
(650) 330-0900 Telephone  
(650) 330-0980 Facsimile

F:\Document\5075\0017\10\Certificate of Correction & Request.DOC

NOV 09 2004

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTIONPATENT NO. : 6,780,492 *B2*

DATED : August 24, 2004

INVENTOR(S) : Craig Jon Hawker et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Column 1, after the title and before the section entitled "CROSS-REFERENCE TO RELATED APPLICATIONS," please insert the following section:

## -- REFERENCE TO GOVERNMENT SUPPORT

This invention was partially sponsored by the National Science Foundation under Grant No. DMR-9400354. --

MAILING ADDRESS OF SENDER:  
Reed Intellectual Property Law Group  
800 Menlo Avenue, Suite 210  
Menlo Park, CA 94025

PATENT NO. 6,780,492

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NOV 09 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL  
NOV 01 2004 FORM

(To be used for all correspondence after initial filing)

Mail Stop Certificate of Corrections Branch

Application Number	10/074,395
Filing Date	February 11, 2002
First Named Inventor	Craig Jon Hawker
Art Unit	1771
Examiner Name	Elizabeth M. Cole
Attorney Docket Number	5075-0017.10

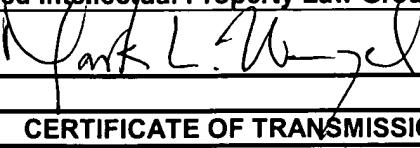
## ENCLOSURES (Check all that apply)

<input type="checkbox"/> No fee due <input type="checkbox"/> Fee Transmittal <input checked="" type="checkbox"/> Fee(s) due <input checked="" type="checkbox"/> Fee Transmittal <input type="checkbox"/> Check for \$ 100.00  <input type="checkbox"/> Charge any underpayment or credit any overpayment to Deposit Account No. 18-0580  <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement & Form(s) PTO-1449 <input type="checkbox"/> Copy(ies) of cited reference(s) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s):	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <u>Request for Certificate of Correction; Certificate of Correction.</u>
--	--	---

## Remarks:

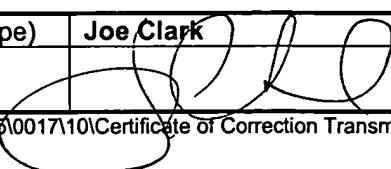
The Commissioner is hereby authorized to charge any additional or underpayment of fee(s) to Deposit Account No. 18-0580.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name (print/type)	Mark L. Warzel, Reg. No. 47,264 Reed Intellectual Property Law Group	Telephone	(650) 330-0900
Signature		Date	October 28, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Name (print/type)	Joe Clark		
Signature		Date	October 28, 2004



R&amp;E No. 5075-0017.10

PTO/SB/17 (10-04)

Approved for use through 07/31/2006 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 10/01/03. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$100.00

## Complete if Known

Application Number	10/074,395
Filing Date	February 11, 2002
First Named Inventor	Craig Jon Hawker
Examiner Name	Elizabeth M. Cole
Group Art Unit	1771
Attorney Docket No.	5075-0017.10

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account No.	18-0580
Deposit Account Name	Reed & Eberle LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Charge any underpayment or credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee Description	Fee Paid
1001	700	2001	395
1002	350	2002	175
1003	550	2003	275
1004	790	2004	395
1005	160	2005	80
SUBTOTAL (1)			\$

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
		- 20** =	<input type="text"/>	<input type="text"/>
		- 3** =	<input type="text"/>	<input type="text"/>
			<input type="text"/>	<input type="text"/>

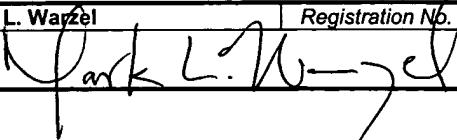
Large Entity	Small Entity	Fee Description	
Fee Code	Fee Code	Fee Description	
1202	18	2202	9
1201	88	2201	44
1203	300	2203	150
1204	88	2204	44
1205	18	2205	9
SUBTOTAL (2)		\$	

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee Description	Fee Paid
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	430	2252	215
1253	980	2253	490
1254	1,530	2254	765
1255	2,080	2255	1,040
1401	340	2401	170
1402	340	2402	170
1403	300	2403	150
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,370	2453	685
1501	1,370	2501	685
1502	490	2502	245
1503	660	2503	330
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	790	2809	395
1810	790	2810	395
1801	790	2801	395
1802	900	1802	900
Other fee (specify) <input type="text"/>		CERTIFICATE OF CORRECTION	\$100.00
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	
		\$100.00	

Complete (if applicable)

Name (Print/Type)	Mark L. Warzel	Registration No. (Attorney/Agent)	47,264	Telephone
Signature				Date <input type="text"/> October 28, 2004